## Some developments on Hardy inequalities in $\mathbb{R}^n$

#### Juha Lehrbäck

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Juha Lehrbäck Some developments on Hardy inequalities in  $\mathbb{R}^n$ 

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Pointwise Hardy inequalities Sufficient conditions Necessary conditions Hardy inequalities Basic results

#### Introduction

Hardy inequalities Basic results

#### Pointwise Hardy inequalities

Pointwise p-Hardy Characterizations Pointwise  $(p, \beta)$ -Hardy

#### Sufficient conditions

Background Main result More general domains

#### Necessary conditions

Weighted pointwise Hardy General case

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# Original inequalities

Hardy 1925:

$$\int_0^\infty \left(\frac{1}{x}\int_0^x f(t)\,dt\right)^p dx \le \left(\frac{p}{p-1}\right)^p \int_0^\infty f(x)^p\,dx,$$

when  $1 and <math>f \ge 0$  is measurable.

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when  $1 and <math>f \ge 0$  is measurable. Another form:

$$\int_0^\infty |u(x)|^p \, x^{-p} \, dx \le \left(\frac{p}{p-1}\right)^p \int_0^\infty |u'(x)|^p \, dx,$$

where 1 and*u*is abs. continuous, <math>u(0) = 0.

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Hardy inequalities Basic results

### Hardy inequalities in $\mathbb{R}^n$

The following *p*-Hardy inequality also makes sense in a domain  $\Omega \subset \mathbb{R}^n$  for  $u \in C_0^{\infty}(\Omega)$ :

$$\int_{\Omega} |u(x)|^{p} d_{\Omega}(x)^{-p} dx \leq C \int_{\Omega} |\nabla u(x)|^{p} dx \qquad (1)$$

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$$\int_{\Omega} |u(x)|^{p} d_{\Omega}(x)^{-p} dx \leq C \int_{\Omega} |\nabla u(x)|^{p} \qquad dx \qquad (2)$$

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$$\int_{\Omega} |u(x)|^{p} d_{\Omega}(x)^{\beta-p} dx \leq C \int_{\Omega} |\nabla u(x)|^{p} d_{\Omega}(x)^{\beta} dx \qquad (2)$$

This is the  $(p,\beta)$ -Hardy inequality for  $u \in C_0^{\infty}(\Omega)$ .

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This is the  $(p, \beta)$ -Hardy inequality for  $u \in C_0^{\infty}(\Omega)$ . If (2) (resp. (1)) holds for all  $u \in C_0^{\infty}(\Omega)$  with the same constant  $C = C(\Omega, p, \beta) > 0$ , we say that the domain  $\Omega \subset \mathbb{R}^n$  admits the  $(p, \beta)$ -Hardy inequality (resp. *p*-Hardy inequality).

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Motivation: Why should one study Hardy inequalities?

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- ... mathematical curiosity ...

Pointwise Hardy inequalities Sufficient conditions Necessary conditions

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# Sufficient conditions

#### Theorem (Nečas 1962)

Let  $\Omega \subset \mathbb{R}^n$  be a bounded Lipschitz domain. Then  $\Omega$  admits the  $(p, \beta)$ -Hardy inequality whenever  $1 and <math>\beta .$ 

In particular, a Lipschitz domain admits the *p*-Hardy inequality for all  $1 . Also, the bound <math>\beta is sharp.$ 

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Theorem (Ancona 1986 (
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Let  $\Omega \subset \mathbb{R}^n$  be a domain such that the complement  $\Omega^c = \mathbb{R}^n \setminus \Omega$  is uniformly p-fat. Then  $\Omega$  admits the p-Hardy inequality.

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#### Uniform fatness

A closed set  $E \subset \mathbb{R}^n$  is uniformly *p*-fat, if it satisfies a uniform capacity density condition, which is equivalent to the following uniform Hausdorff content density condition:

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$$\mathcal{H}^{\lambda}_{\infty}ig(E\cap B(w,r)ig)\geq Cr^{\lambda}$$
 for all  $w\in E$  and all  $r>0.$  (3)

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 $\mathcal{H}^{\lambda}_{\infty}(E \cap B(w, r)) \ge Cr^{\lambda}$  for all  $w \in E$  and all r > 0. (3)

Recall that the  $\lambda$ -Hausdorff content of  $A \subset \mathbb{R}^n$  is defined by

$$\mathcal{H}^{\lambda}_{\infty}(A) = \inf \bigg\{ \sum_{i=1}^{\infty} r_i^{\lambda} : A \subset \bigcup_{i=1}^{\infty} B(z_i, r_i) \bigg\}.$$

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For instance, every non-empty  $E \subset \mathbb{R}^n$  is unif. *p*-fat for all p > n, and the complement of a Lipschitz domain  $\Omega \subset \mathbb{R}^n$  is unif. *p*-fat for all p > 1.

Pointwise *p*-Hardy Characterizations Pointwise  $(p, \beta)$ -Hardy

# Pointwise *p*-Hardy inequality

Uniform *p*-fatness of the complement yields actually stronger inequalities:

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Theorem (Hajłasz 1999, Kinnunen-Martio 1997)

Let  $1 and assume that the complement of a domain <math>\Omega \subset \mathbb{R}^n$  is uniformly p-fat. Then there exist some exponent 1 < q < p and a constant C > 0 such that the inequality

$$|u(x)| \le Cd_{\Omega}(x) \left( M_{2d_{\Omega}(x)} (|\nabla u|^q)(x) \right)^{1/q}$$
(4)

holds for all  $u \in C_0^{\infty}(\Omega)$  at every  $x \in \Omega$ .

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Here  $M_R f$  is the usual restricted Hardy-Littlewood maximal function of  $f \in L^1_{loc}(\mathbb{R}^n)$ , defined by  $M_R f(x) = \sup_{r \leq R} \frac{1}{|B(x,r)|} \int_{B(x,r)} |f(y)| dy$ 

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## Pointwise implies integral

By the maximal theorem it is easy to see that the pointwise inequality (4) implies the usual *p*-Hardy inequality (as q < p):

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$$|u(x)|^{p} d_{\Omega}(x)^{-p} \leq C \quad (M_{2d_{\Omega}(x)}(|\nabla u|^{q})(x))^{p/q}$$

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#### Pointwise Hardy and fatness

Recall that (Ancona-Lewis-Wannebo): Ω<sup>c</sup> uniformly p-fat ⇒ Ω admits the p-Hardy.

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### Pointwise Hardy and fatness

- Recall that (Ancona-Lewis-Wannebo): Ω<sup>c</sup> uniformly p-fat ⇒ Ω admits the p-Hardy.
- It is also easy to see that
   Ω<sup>c</sup> uniformly *p*-fat ∉ Ω admits the *p*-Hardy.
   (a punctured ball B(0, r) \ {0} ⊂ ℝ<sup>n</sup> admits the *p*-Hardy for all p ≠ n, but is not unif. *p*-fat for p ≤ n.)

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- However (Hajłasz, Kinnunen-Martio): Ω<sup>c</sup> uniformly p-fat ⇒ Ω admits the pointwise p-Hardy.

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#### However

 $\Omega^{c}$  uniformly *p*-fat  $\Leftrightarrow \Omega$  admits the pointwise *p*-Hardy. (L, PAMS 2008)

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# Pointwise Hardy and inner boundary density

There is also another (more natural?) characterization for pointwise *p*-Hardy inequalities (L, PAMS 2008):

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# Pointwise Hardy and inner boundary density

There is also another (more natural?) characterization for pointwise *p*-Hardy inequalities (L, PAMS 2008): A domain  $\Omega \subset \mathbb{R}^n$  admits the pointwise *p*-Hardy  $\Leftrightarrow \Omega$  satisfies the following *inner boundary density condition:* 

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 $\mathcal{H}^\lambda_\infty\big(\textit{B}(x,2\textit{d}_\Omega(x))\cap\partial\Omega\big)\geq\textit{Cd}_\Omega(x)^\lambda \ \text{ for every } x\in\Omega.$ 

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$$\mathcal{H}^{\lambda}_{\infty}\big(B(x,2d_{\Omega}(x))\cap\partial\Omega\big)\geq \textit{Cd}_{\Omega}(x)^{\lambda} \ \, \text{for every} \ x\in\Omega.$$

Idea of  $\Rightarrow$ : Let  $B(x, 2d_{\Omega}(x)) \cap \partial \Omega \subset \bigcup_{i=1}^{N} B(z_i, r_i)$  and use the pointwise *p*-Hardy for test function

$$\varphi(y) = \min_{1 \le i \le N} \left\{ 1, \ r_i^{-1} d(y, B(z_i, 2r_i)) \right\} \cdot (\text{cut-off})$$

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## Inner boundary density and uniform fatness

Let us take another look at the following density conditions:

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 $\ref{eq:constant}$  there exists a constat C>0 and some exponent  $\lambda>n-p$  so that

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(L, PAMS 2008)

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 $\begin{aligned} &\mathcal{H}^{\lambda}_{\infty}\big(B(w,r)\cap\Omega^{c}\big)\geq Cr^{\lambda} \ \text{ for every } r>0, \ w\in\Omega^{c} \ (\partial\Omega) \\ & (\Leftrightarrow\Omega^{c} \text{ is uniformly } p\text{-fat}) \\ & (\mathsf{L}, \text{ PAMS 2008}) \end{aligned}$ 

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## repetition and provocation

i.e., the following assertions are (quantitatively) equivalent for a domain  $\Omega \subset \mathbb{R}^n$ :

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- 1.  $\Omega$  admits the pointwise *p*-Hardy inequality
- 2.  $\Omega^c$  is uniformly *p*-fat (capacitary condition)

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4. There exists  $\lambda > n - p$  and C > 0 so that

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Pointwise p-Hardy Characterizations Pointwise  $(p, \beta)$ -Hardy

## repetition and provocation

i.e., the following assertions are (quantitatively) equivalent for a domain  $\Omega \subset \mathbb{R}^n$ :

- 1.  $\Omega$  admits the pointwise *p*-Hardy inequality
- 2.  $\Omega^c$  is uniformly *p*-fat (capacitary condition)
- 3. There exists  $\lambda > n p$  and C > 0 so that

 $\mathcal{H}^\lambda_\inftyig(\Omega^c\cap B(w,r)ig)\geq Cr^\lambda \quad ext{ for all } r>0, \; w\in \Omega^c$ 

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Q: Could condition 4. be used in the context of variable exponent spaces to give a characterization of "p(x)"-fatness of  $\Omega^c$ ?

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Pointwise *p*-Hardy Characterizations Pointwise  $(p, \beta)$ -Hardy

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i.e., the following assertions are (quantitatively) equivalent for a domain  $\Omega \subset \mathbb{R}^n$ :

1.  $\Omega$  admits the pointwise p(x)-Hardy inequality ?!?

4. There exists  $\lambda(x) > n - p(x)$  and C > 0 so that

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Pointwise p-Hardy Characterizations Pointwise  $(p, \beta)$ -Hardy

# A weighted pointwise inequality

There is also a pointwise version of the weighted  $(p, \beta)$ -Hardy inequality:

$$|u(x)| \leq Cd_{\Omega}(x)^{1} \quad \left(M_{2d_{\Omega}(x)}(|\nabla u|^{q}) (x)\right)^{1/q}, \quad (5)$$

where again 1 < q < p.

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$$|u(x)| \leq Cd_{\Omega}(x)^{1-\frac{\beta}{p}} \left( M_{2d_{\Omega}(x)} \left( |\nabla u|^{q} d_{\Omega}^{\frac{\beta}{p}q} \right)(x) \right)^{1/q}, \qquad (5)$$

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Pointwise *p*-Hardy Characterizations Pointwise  $(p, \beta)$ -Hardy

## summary and questions

Recall some previously known results for weighted Hardy inequalities:

•  $\Omega^c$  unif. *p*-fat  $\Longrightarrow \Omega$  admits  $(p, \beta)$ -Hardy for all  $\beta < \beta_0$ ,  $\beta_0 > 0$  small (Wannebo)

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Pointwise *p*-Hardy Characterizations Pointwise  $(p, \beta)$ -Hardy

#### summary and questions

Recall some previously known results for weighted Hardy inequalities:

- Ω<sup>c</sup> unif. p-fat ⇒ Ω admits (p, β)-Hardy for all β < β<sub>0</sub>, β<sub>0</sub> > 0 small (Wannebo)
- Ω Lipschitz ⇒ Ω admits (p, β)-Hardy for all β < β<sub>0</sub> = p − 1 (Nečas); here Ω<sup>c</sup> is unif. p-fat for all 1

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 What can be said about β<sub>0</sub> for more general domains? (explicit?)

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Questions:

- What can be said about β<sub>0</sub> for more general domains? (explicit?)
- When do we have weighted pointwise inequalities?
- What about necessary conditions for weighted (pointwise) Hardy inequalities?

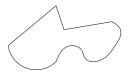
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Background Main result More general domains

## Lipschitz vs. snowflake

A Lipschitz domain  $\Omega \subset \mathbb{R}^n$  admits  $(p, \beta)$ -Hardy for all

$$\beta$$

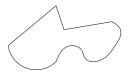


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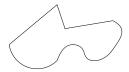
Background Main result More general domains

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Here  $n - 1 = \dim(\partial \Omega)$ 



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Background Main result More general domains

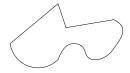
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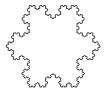
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Background Main result More general domains

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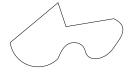
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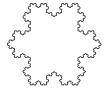
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Then calculations suggest that  $\Omega$  admits the (p,  $\beta)\text{-Hardy}$  for all

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Background Main result More general domains

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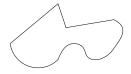
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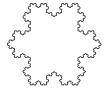
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Background Main result More general domains

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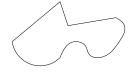
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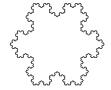
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Let  $\Omega \subset \mathbb{R}^2$  be a snowflake domain with dim $(\partial \Omega) = \lambda \in (1, 2)$ . Then calculations suggest that  $\Omega$  admits the

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Notice that the boundaries of both Lipschitz and snowflake domains are well accessible from the points inside the domain

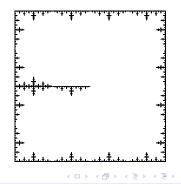
Background Main result More general domains

#### A counterexample

Let  $\Omega \subset \mathbb{R}^2$  be as below, with  $\lambda = \dim(\partial \Omega) > 1$ . Then  $\Omega$  satisfies

$$\mathcal{H}^\lambda_\inftyig(B(x,2d_\Omega(x))\cap\partial\Omegaig)\geq \mathit{Cd}_\Omega(x)^\lambda$$
 for every  $x\in\Omega,$ 

but  $\Omega$  does not admit the  $(p, \beta)$ -Hardy for  $\beta = p - 1 .$ 



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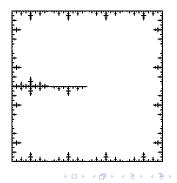
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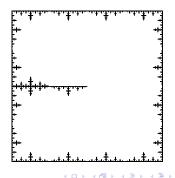
Background Main result More general domains

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but  $\Omega$  does not admit the  $(p,\beta)$ -Hardy for  $\beta = p - 1 .$ From the points above the antenna in the middle, the boundary "seems to be 1-dimensional", the thick part of the boundary is near but not accessible



Background Main result More general domains

## Main Thorem

Theorem (Koskela-L. 2007(preprint))

Let  $1 and let <math>\Omega \subset \mathbb{R}^n$  be a domain. Assume that there exist  $0 \le \lambda \le n$ ,  $c \ge 1$ , and  $C_0 > 0$  so that

 $\mathcal{H}_{\infty}^{\lambda}(v_{x}(c)-\partial\Omega) \geq C_{0}d_{\Omega}(x)^{\lambda} \quad \text{ for every } x \in \Omega.$ (6)

Then  $\Omega$  admits the pointwise  $(p, \beta)$ -Hardy inequality whenever  $\beta .$ 

Background Main result More general domains

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Then  $\Omega$  admits the pointwise  $(p,\beta)$ -Hardy inequality whenever  $\beta .$ 

A point  $w \in \partial \Omega$  is in the set  $v_x(c) - \partial \Omega$ , if w is accessible from x by a *c*-John curve, that is, there exists a curve  $\gamma = \gamma_{w,x} \colon [0, I] \to \Omega$ , parametrized by arc length, with  $\gamma(0) = w$ ,  $\gamma(I) = x$ , and satisfying  $d(\gamma(t), \partial \Omega) \ge t/c$  for every  $t \in [0, I]$ .

Background Main result More general domains

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Juha Lehrbäck

Some developments on Hardy inequalities in  $\mathbb{R}^n$ 

Background Main result More general domains

# Some ingredients of the proof

Juha Lehrbäck Some developments on Hardy inequalities in  $\mathbb{R}^n$ 

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Background Main result More general domains

## Some ingredients of the proof

Fix x ∈ Ω, take for each w ∈ v<sub>x</sub>(c)-∂Ω a "John" chain of Whitney cubes joining w to x (denoted P(w)).

Background Main result More general domains

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- Standard estimate (here  $x \in Q_0$ )

$$|u_{Q_0}| = |u_{Q_0} - u(w)| \le C \sum_{Q \in P(w)} \operatorname{diam}(Q) \oint_Q |\nabla u(y)| \, dy$$

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This leads to

$$|u_{Q_0}| \leq C \sum_{Q \in P(w)} \operatorname{diam}(Q)^{1-\frac{\beta}{p}} \left( \oint_Q |\nabla u(y)|^q \, d_{\Omega}(y)^{\frac{\beta}{p}q} \, dy \right)^{1/q}$$
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Background Main result More general domains

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► Intergate (7) w.r.t. a Frostman measure  $\mu$  on  $v_x(c) - \partial \Omega$ , use Fubini to change the order of integration and summation

Background Main result More general domains

# Some ingredients of the proof (cont.)

► ... need to estimate the measures of the shadows (w.r.t. John curves) of Whitney cubes on v<sub>x</sub>(c)−∂Ω

Background Main result More general domains

# Some ingredients of the proof (cont.)

- ► ... need to estimate the measures of the shadows (w.r.t. John curves) of Whitney cubes on v<sub>x</sub>(c)−∂Ω
- Calculate  $\longrightarrow$

$$|u_{Q_0}| \leq C\operatorname{diam}(Q_0)^{1-rac{eta}{p}}\left( \int_{B(x,2d_\Omega(x))} |
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Background Main result More general domains

# Some ingredients of the proof (cont.)

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$$|u_{Q_0}| \leq C \operatorname{diam}(Q_0)^{1-\frac{\beta}{p}} \left( \int_{B(x,2d_\Omega(x))} |\nabla u(y)|^q \, d_\Omega(y)^{\frac{\beta}{p}q} \, dy \right)^{1/q}.$$

► Finally,  $|u(x)| \le |u(x) - u_{Q_0}| + |u_{Q_0}|$ , use standard  $\int M(|\nabla u|)$ -estimate for the first term to obtain the pointwise  $(p, \beta)$ -Hardy

Background Main result More general domains

### More general domains

Even much more general domains may admit Hardy inequalities, especially the boundary may contain also "thin" parts (contrary to the uniformly "thick" boundary of the previous thm.)

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Weighted pointwise Hardy General case

# A necessary condition for pointwise ( $p, \beta$ )-Hardy

Indeed, the following uniform inner boundary density holds in domains which admit pointwise Hardy inequalities:

Weighted pointwise Hardy General case

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#### Theorem (L. 2008 (preprint))

Suppose that  $\Omega \subset \mathbb{R}^n$  admits the pointwise  $(p, \beta)$ -Hardy. Then there exist a constant C > 0 and some  $\lambda > n - p + \beta$  such that

 $\mathcal{H}^{\lambda}_{\infty}ig(B(x,2d_{\Omega}(x))\cap\partial\Omegaig)\geq Cd_{\Omega}(x)^{\lambda} \quad \textit{ for every } x\in\Omega.$  (8)

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Again, (8) implies that  $\mathcal{H}^{\lambda}_{\infty}(B(w, r) \cap \Omega^{c}) \geq Cr^{\lambda}$  for all  $w \in \Omega^{c}$  and all r > 0.

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Again, (8) implies that  $\mathcal{H}^{\lambda}_{\infty}(B(w,r) \cap \Omega^{c}) \geq Cr^{\lambda}$  for all  $w \in \Omega^{c}$ and all r > 0. However, (8) alone is not sufficient for the pointwise  $(p,\beta)$ -Hardy inequality (need also the "John-accessibility")

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Weighted pointwise Hardy General case

A global necessary condition for  $(p, \beta)$ -Hardy

#### Theorem (L. 2008 (manuscripta math))

If a domain  $\Omega \subset \mathbb{R}^n$  admits the  $(p, \beta)$ -Hardy inequality, and  $\beta \neq p$ , then there exists  $\delta > 0$  such that either

dim 
$$(\Omega^c) > n - p + \beta + \delta$$

or

dim 
$$(\Omega^c) < n - p + \beta - \delta$$
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The case  $\beta = 0$  is essentially due to Koskela-Zhong (2003).

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The case  $\beta = 0$  is essentially due to Koskela-Zhong (2003). Here dim<sub>H</sub> is the Hausdorff dimension and dim<sub>A</sub> is a concept of dimension, introduced by Aikawa, such that dim<sub>A</sub>(E)  $\geq$  dim<sub>H</sub>(E) for all closed  $E \subset \mathbb{R}^n$ .

Weighted pointwise Hardy General case

# A local necessary condition for $(p, \beta)$ -Hardy

The previous result also holds locally, in the following sense:

Weighted pointwise Hardy General case

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Theorem (L. 2008 (manuscripta math))

If a domain  $\Omega \subset \mathbb{R}^n$  admits the  $(p, \beta)$ -Hardy inequality, and  $\beta \neq p$ , then there exists  $\delta > 0$  such that for each ball  $B \subset \mathbb{R}^n$  either

$$\dim_{\mathcal{H}}(4B \cap \Omega^{c}) > n - p + \beta + \delta$$

or

$$\dim_{\mathcal{A}}(B \cap \Omega^{c}) < n - p + \beta - \delta.$$

The case  $\beta = 0$  is again due to Koskela-Zhong (2003).

Weighted pointwise Hardy General case

### An example

Let  $E = \{(j^{-1}, 0, \dots, 0) : j \in \mathbb{N}\} \cup \{0\} \subset \mathbb{R}^n$ , and take  $\Omega = \mathbb{R}^n \setminus E$ . Then dim<sub> $\mathcal{H}$ </sub>(E) = 0 and dim<sub> $\mathcal{A}$ </sub>(E) = 1 (and the Minkowski dimension is dim<sub> $\mathcal{M}$ </sub>(E) = 1/2).

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$$0 = \dim_{\mathcal{H}}(E) \le n - p + \beta \le \dim_{\mathcal{A}}(E) = 1,$$

i.e. if  $p - n \leq \beta \leq p - n + 1$ .

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i.e. if  $p - n \le \beta \le p - n + 1$ . On the other hand, every proper subdomain of  $\mathbb{R}^n$  admits the  $(p, \beta)$ -Hardy if  $\beta , and one can also show (using the sufficient conditions for general domains) that <math>\Omega$  admits the  $(p, \beta)$ -Hardy if  $\beta > p - n + 1$ . Thus we conclude that  $\Omega$  admits the  $(p, \beta)$ -Hardy inequality *if and only if*  $\beta or <math>\beta > p - n + 1$ .

Weighted pointwise Hardy General case

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## The End

Thank you for your attention

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